



AT-0175 Action Request Record Report

REV.2

Report Date: 07/21/2010

AR Number: 01166830	Type: CAP	Status: 40 APPROVED 01/26/2009
Due Date: Not Locked 12/15/2010	Priority:	Orig Date: 1/26/2009 12:56:00 PM
Event:	Severity: A	Dscv Date:
Subject: SCAQ-Inadequate CAP resolution of significant issues		Event Date:
Orig ID: N154130 Martin, Steven J	Fac/Grp: PI	Aff Fac: PI PRAIRIE ISLAND
Owed To: N152144 Petersen, Kurt W	Fac/Grp: PI	Dept: 31 QA / Nuc Oversight
No Assign: False	Org:	Dept: 80 Site Management
		Dspln:

Description:
Description Notes
Last Updated By Last Updated Date

Response to this issue requires NOS review	N154130	01/26/2009
-	N154130	01/26/2009
CONTACT NOS FOR SPECIFIC DETAILS	N154130	01/26/2009
-	N154130	01/26/2009
SEE SHAREPOINT FOR COMPLETE PSDS	N154130	01/26/2009
-	N154130	01/26/2009
An Adverse Trend exists related to Problem	N154130	01/26/2009
Identification and Resolution of issues. This has	N154130	01/26/2009
resulted in degraded plant equipment and an	N154130	01/26/2009
unacceptable number of unplanned LCOs. NOS	N154130	01/26/2009
recommends that this be classified as a	N154130	01/26/2009
Significant Condition Adverse to Quality.	N154130	01/26/2009
-	N154130	01/26/2009
In the past two years, the Site has had approximately 95 "A"	N154130	01/26/2009

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Description:

<u>Description Notes</u>	<u>Last Updated By</u>	<u>Last Updated Date</u>
Three specific events comprise 17% of these "A"	N154130	01/26/2009
level CAPs issued (16 of the 95 "A" CAPS issued).	N154130	01/26/2009
-	N154130	01/26/2009
They are:	N154130	01/26/2009
Inadvertent Siren activation & Eight "A" level	N154130	01/26/2009
CAPs written for inadvertent activation. In the	N154130	01/26/2009
first instance reviewed by NOS a Root Cause	N154130	01/26/2009
evaluation (RCE) was performed which identified	N154130	01/26/2009
lightning as the cause and stated it could not be	N154130	01/26/2009
prevented. The next seven of the eight "A" level	N154130	01/26/2009
CAPs did not have a RCE performed as the cause	N154130	01/26/2009
was "simple and known". Discussions with the	N154130	01/26/2009
Emergency Planning (EP) Manager revealed that	N154130	01/26/2009
the station has not solved the issue and it is	N154130	01/26/2009
probable the station will have additional	N154130	01/26/2009
inadvertent Siren activations.	N154130	01/26/2009
&	N154130	01/26/2009
Technical Support Center (TSC) & Four "A" level	N154130	01/26/2009
CAPs written, three of which were for TSC	N154130	01/26/2009
ventilation. TSC ventilation has been a	N154130	01/26/2009
reoccurring issue for the site, and one of the	N154130	01/26/2009
reasons for the TSC ventilation issues is the	N154130	01/26/2009
inability to maintain the temp in the Work Control	N154130	01/26/2009
center (WCC) at the comfortable level without	N154130	01/26/2009
repositioning the dampers within the TSC	N154130	01/26/2009



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<u>Description Notes</u>	<u>Last Updated By</u>	<u>Last Updated Date</u>
ventilation system. WCC temperature and	N154130	01/26/2009
comfort level issues still exist today and it is	N154130	01/26/2009
believed, by the site that this condition has	N154130	01/26/2009
contributed to the damper miss-position events.	N154130	01/26/2009
-	N154130	01/26/2009
Emergency Diesels (EDG) 4 Four "A" level CAPs	N154130	01/26/2009
written, three of which were for D5 for excessive	N154130	01/26/2009
crank case pressure (10/23/07), Maintenance	N154130	01/26/2009
rule (11/12/2007) and unavailability (07/10/07). -	N154130	01/26/2009
In review of these three as well as other issues, it	N154130	01/26/2009
was determined that in some cases the actions	N154130	01/26/2009
taken were less than adequate to investigate the	N154130	01/26/2009
cause of the identified condition and did not	N154130	01/26/2009
adequately resolve the issue, as evidenced by the	N154130	01/26/2009
events reoccurring. Additionally the same three	N154130	01/26/2009
specific events have a combined total of 20 "B"	N154130	01/26/2009
level CAPS written against them as well as over	N154130	01/26/2009
100 "C" level CAPs written in the past 24 months.	N154130	01/26/2009
-	N154130	01/26/2009
The Corrective Action Program (CAP) process has	N154130	01/26/2009
four key elements to the process:	N154130	01/26/2009
1) Issue Identification & Identification of Importance	N154130	01/26/2009
of Issue	N154130	01/26/2009
2) Issue Screening	N154130	01/26/2009
3) Issue Evaluation	N154130	01/26/2009



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Description:

<u>Description Notes</u>	<u>Last Updated By</u>	<u>Last Updated Date</u>
4) Issue Resolution/Ownership & Timeliness of Resolution	N154130	01/26/2009
-	N154130	01/26/2009
1) Issue Identification & Identification of Importance	N154130	01/26/2009
¿ NOS review of this key element of the CAP process revealed that in many cases the site was not identifying or understanding the importance of issues identified by both internal and external sources as evidenced by the below items and the of the site. The NRC stated, "Resident Inspectors bring issues to the attention of the site and the issue is either not understood or not completely followed up on".	N154130	01/26/2009
-	N154130	01/26/2009
2) Issue Screening - NOS review of this key element of the CAP process revealed that the screen team on several occasions exhibited the wrong picture, standards and ultimately a drive to resolve the problem vice symptoms. In some cases other members of screen team were not taken to task, when they asked that no RCE be assigned as the cause was "simple and known".	N154130	01/26/2009
-	N154130	01/26/2009
3) Issue Evaluation - NOS review of this key element of the CAP process revealed numerous	N154130	01/26/2009
-	N154130	01/26/2009



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examples where corrective actions were not	N154130	01/26/2009
timely, did not provide an adequate extent of	N154130	01/26/2009
condition and were not sufficient to prevent	N154130	01/26/2009
reoccurrence, and in some cases merely	N154130	01/26/2009
addressed the symptoms vice the actual problem.	N154130	01/26/2009
-	N154130	01/26/2009
4) Issue Resolution/Ownership & Timeliness of	N154130	01/26/2009
Resolution ¿ The most prevalent issue observed.	N154130	01/26/2009
NOS review of this key element of the CAP	N154130	01/26/2009
process revealed a current CAP process that is	N154130	01/26/2009
untimely, lacked ownership of the identified	N154130	01/26/2009
problem and was siloed towards individual tasks	N154130	01/26/2009
and not the ultimate success of the corrective	N154130	01/26/2009
action as a whole to ensure actual resolution the	N154130	01/26/2009
problem. On numerous occasions NOS was told	N154130	01/26/2009
how much time and effort was put into certain	N154130	01/26/2009
problems and the individuals demonstrated a	N154130	01/26/2009
perception that even though the issue wasn't	N154130	01/26/2009
resolved, the effort expended was high so it was	N154130	01/26/2009
acceptable to not have fixed the problem.	N154130	01/26/2009
*****PROBLEM STATEMENT*****	N154130	01/26/2009
Site difficulties with preventing repeat issues	N154130	01/26/2009
have resulted in a safety-significant trend	N154130	01/26/2009
adverse to quality in the area of problem	N154130	01/26/2009
identification and resolution. The inability to	N154130	01/26/2009



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identify issues and understand their importance,	N154130	01/26/2009
and weaknesses in the screening, evaluation, and	N154130	01/26/2009
resolution phases of the Corrective Action	N154130	01/26/2009
Program, coupled with limited personnel	N154130	01/26/2009
resources, have resulted in the site focusing on	N154130	01/26/2009
symptoms vice actual issues. These difficulties	N154130	01/26/2009
have contributed to degraded plant conditions,	N154130	01/26/2009
increased unplanned LCOs, and a reduction in	N154130	01/26/2009
defense in depth.	N154130	01/26/2009
-	N154130	01/26/2009
SEE ATTACHED COMPLETE PSDS IN SHAREPOINT	N154130	01/26/2009

Notes:

<u>Note Type</u>	<u>Notes</u>	<u>Last Updated By</u>	<u>Last Updated Date</u>
General Notes	WARNING:PRI field must be updated if A/S reopened.CAP1212567	FTLD01	02/18/2010
Immediate Action Taken	NOS aligned with Performance Assessment Supervisor,	N154130	01/26/2009
Immediate Action Taken	Business Support Manager, and the Plant Manager.	N154130	01/26/2009
Recommendations	Perform causal evaluation of the problem statement	N154130	01/26/2009
Recommendations	IAW FP-PA-ARP-01.	N154130	01/26/2009
Why did this occur?	CAP weaknesses resulting in repeat events.	N154130	01/26/2009
Why did this occur?	-	N154130	01/26/2009
Why did this occur?	Lack of ownership	N154130	01/26/2009
Why did this occur?	-	N154130	01/26/2009
Why did this occur?	Focus on symptoms vice issues	N154130	01/26/2009
Why did this occur?	-	N154130	01/26/2009



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Reference Docs:

<u>Facility</u>	<u>Doc Type</u>	<u>Sub Type</u>	<u>Document Number</u>	<u>Sheet</u>	<u>Rev</u>	<u>Minor</u>	<u>Doc Date</u>	<u>Title</u>
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Keywords:

<u>Keyword</u>	<u>Description</u>	<u>Last Updated By</u>	<u>Last Updated Date</u>
NOS OBS	NOS OBSERVATION	N154122	01/27/2009
RECOVERY	STRATEGIC RECOVERY PLAN FOR PINGP	DVSM03	02/23/2009

Attributes:

<u>Attribute</u>	<u>Value</u>	<u>Reqd By</u>	<u>Last Updated By</u>	<u>Last Updated Date</u>
10CFR21 REQD?		COMPLETE		
COMP ACTIONS TAKEN?				
CROSS-CUTTING ISSUE				
EQUIP ID REQ'D?	N	NOTIFY	N154130	01/26/2009
GOOD CATCH?				
IDENTIFIED BY	NOS	NOTIFY	N154130	01/26/2009
INSPECTION QUARTER				
INSPECTION REPORT#				
INSPECTION YEAR				
LICENSE REVIEW REQD?		COMPLETE		
MODE CHG RESTRAINT				
NOS REVIEW REQD?	Y	COMPLETE	N154130	01/26/2009
OPS STATUS	NA	APPROVED	XX7277	01/26/2009
PARB REVIEW REQD?		COMPLETE		
POTENTIAL MRFF	N	APPROVED	XX7277	01/26/2009
POTENTL MRGN ISSUE?				



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Attributes:

<u>Attribute</u>	<u>Value</u>	<u>Reqd By</u>	<u>Last Updated By</u>	<u>Last Updated Date</u>
SRO REVIEW REQD?	N	NOTIFY	N154130	01/26/2009
TRENDING COMPLETE?	Y	COMPLETE	N154122	04/27/2009
UNPLANED TSAC ENTRY?	N	APPROVED	XX7277	01/26/2009
WELL DOCUMENTED?				
SCAQ-CAQ-CNAQ			MLRE01	08/26/2009

Attributes Notes:

<u>Attribute</u>	<u>Notes</u>	<u>Last Updated By</u>	<u>Last Updated Date</u>
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Event Reportable Items:

<u>Event Type</u>	<u>Event Header</u>	<u>Description</u>
CAP	CAP	CORRECTIVE ACTION PROGRAM

Trend/Cause Codes:

<u>Facility</u>	<u>Trend 1</u>	<u>Trend 2</u>	<u>Trend 3</u>	<u>Date</u>	<u>Process</u>	<u>Org</u>	<u>Rank</u>	<u>Assign</u>	<u>Vendor</u>	<u>Sfx</u>	<u>Description</u>
PI	PIR	CP	P.1(D)		CA	38	A				CORRECTIVE ACTION

Trend/Cause Notes:

<u>Notes</u>	<u>Last Updated Date</u>
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Trend/Cause Generic Implications:

<u>Generic Implication</u>	<u>Description</u>
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Material References:

<u>UTC No.</u>	<u>Manufacturer</u>	<u>Model No.</u>	<u>Serial No.</u>
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Equipment/Component References:

<u>Facility</u>	<u>Unit</u>	<u>Op Sys</u>	<u>Division</u>	<u>Area</u>	<u>System</u>	<u>Class</u>	<u>Equip Type</u>	<u>Equipment</u>	<u>Comp Type</u>	<u>Component</u>
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Cross References:

<u>Ref</u>	<u>Ref</u>	<u>Ref Nbr</u>				
<u>Type</u>	<u>Reference Nbr</u>	<u>Sub</u>	<u>Type</u>	<u>Status</u>	<u>Status Date</u>	<u>Description</u>
AR	01083315		AR	COMPLETE	05/14/2009	DRUM - PI has not be effective at resolving issues thru CAP
AR	01117840		AR	COMPLETE	09/26/2009	WANO AFI Performance Management (PI.2)
AR	01165841		AR	COMPLETE	04/20/2009	Focused Self Assessment on the CAP program
AR	01172753		AR	COMP-NA	03/13/2009	CCE on adhering to CAP milestones failed EFR
AR	01173248		AR	COMP-NA	03/24/2009	Corrective actions to address FP issues are not timely
AR	01184090		AR	COMPLETE	09/28/2009	Non level A actins from RCE 1166830
AR	01186470		AR	COMPLETE	08/26/2009	Develop capability to calculate assignment priority AT-0085
AR	01186538		AR	COMPLETE	08/26/2009	Add SCAQ-CAQ-CNAQ attribute to CAP template
AR	01204115		AR	COMPLETE	01/05/2010	Tracking Assignments needed to ensure Priority.
AR	01140116	01	AS	COMPLETE	07/02/2009	PU.06.B.1, Apply the same methodology
AR	01172222	01	AS	COMPLETE	08/21/2009	Investigate raising the cAP eval threshold
AR	01173322	01	AS	COMPLETE	05/11/2009	Evaluate if corrective actions are required
AR	01173335	01	AS	COMPLETE	05/11/2009	Evaluate if corrective actions are required



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Assignment Summary:

<u>Assign Subject</u>	<u>Sub Asgn</u>	<u>Type</u>	<u>Due Date</u>	<u>Status</u>	<u>Prime Fac</u>	<u>Primary Response Group</u>	<u>Sec Fac</u>	<u>Second Response Group</u>	<u>Assign To</u>	<u># of Subs</u>
01	00	RCE	04/16/2009	COMPLETE					A07868	0
Perform Root Cause Evaluation										
02	00	RCG	04/30/2009	COMPLETE					WDHG01	0
Consolidate all grades issued by the PARB on QF-0432										
03	00	TRND	04/03/2009	COMPLETE					N154002	0
Evaluate for cross cutting aspects and update trend codes i										
04	00	CA	08/21/2009	COMPLETE					N152144	0
Develop and implement a Site CAP resolution quality										
05	00	CA	07/29/2009	COMPLETE					N152144	0
Establish management expectations and accountability										
06	00	CA	10/30/2009	COMPLETE					WNDJ04	0
Revise FP-PA-ARP-01 to address the following issues										
07	00	CA	07/30/2009	COMPLETE					NRTS01	0
Complete a site rollout of the Principles for a Strong NSC										
08	00	CA	09/03/2009	COMPLETE					N152144	0
Once CAP priority matrix has been created										
09	00	CAPR	10/30/2009	COMPLETE					WNDJ04	0
Develop and implement a CAP priority matrix										
10	00	CA	08/21/2009	COMPLETE					WNDJ04	0
Develop and implement a Department CAP Health Indicator										
11	00	CA	06/15/2009	COMPLETE					A07868	0
Change default sort settings for AT-0085										
12	00	CA	06/15/2009	COMPLETE					A07868	0
Change the Corrective Action Effectiveness Indicator										

Pedigree Information

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Assignment Summary:

<u>Assign Subject</u>	<u>Sub Asgn</u>	<u>Type</u>	<u>Due Date</u>	<u>Status</u>	<u>Prime Fac</u>	<u>Primary Response Group</u>	<u>Sec Fac</u>	<u>Second Response Group</u>	<u>Assign To</u>	<u># of Subs</u>
13	00	EFR	06/30/2010	CANCELED					N154161	0
Complete a FSA on CAP process effectiveness										
14	00	EFR	12/15/2010	ACC/ASG					N154161	0
Complete a FSA on CAP process effectiveness by eval of EFR 1										
15	00	CAPR	07/17/2009	COMPLETE					N152144	0
Provide Root Cause Evaluation refresher training										
16	00	OTHA	09/18/2009	COMPLETE					N152144	0
Accountability for TRP identified failures										
17	00	STPP	07/20/2009	CANCELED					N154394	0
Please provide reporting test plan for AT-0085										
18	00	CA	10/02/2009	COMPLETE					N152144	0
ensure CAP reduction is reviewed by PARB										



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Assignment Detail for AR/Assignment/Sub-Assignment Number:
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01166830 - 01 - 00



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Assign Type: RCE	Due Date: Not Locked 4/16/2009	Status/Date: 85 COMPLETE 04/16/2009
Pri Resp Grp:	Aff Fac: PI Priority: 5	Unit:
Sec Resp Grp:		System:
Assign To: A07868	Department: 80 Site Management	Rsch Chgs: 1
Last Name: Allen, Timothy E		
Subject: Perform Root Cause Evaluation		

Description:

<u>Description Notes</u>	<u>Last Updated By</u>	<u>Last Updated Date</u>
Perform a Root Cause Evaluation in accordance	N154122	01/27/2009
with FG-PA-RCE-01. The team membership shall	N154122	01/27/2009
be guided by a management sponsor and include at	N154122	01/27/2009
least on RCE qualified person.	N154122	01/27/2009
.	N154122	01/27/2009
The management sponsor will submit an RCE charter	N154122	01/27/2009
to the AR screening team or the PARB using the	N154122	01/27/2009
template found in attachment 16.	N154122	01/27/2009
.	N154122	01/27/2009
Completed evaluations will be graded and reviewed	N154122	01/27/2009
by the PARB.	N154122	01/27/2009
.	N154122	01/27/2009
Ensure CAPRs are initiated.	N154122	01/27/2009
.	N154122	01/27/2009
This action has a route list attached, insure	N154122	01/27/2009
the owed to is informed of completion of this	N154122	01/27/2009

Pedigree Information

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Description:

Description Notes

assignment.

Last Updated By Last Updated Date

N154122

01/27/2009

In Progress Notes:

Notes

Based upon current RCE load at the site, the Original due date for this RCE has been set at 4/16/09. This was reviewed as the due date by the Plant Manager (AR Screen chair) and the Perf assessment supervisor and is to be considered the original due date

Last Updated By Last Updated Date

A07868

02/27/2009

Completion Notes:

Note Type

Notes

Last Updated By Last Updated Date

Attributes:

<u>Attribute</u>	<u>Value</u>	<u>Reqd By</u>	<u>Last Updated By</u>	<u>Last Updated Date</u>
ARE CAPR REQUIRED?	Y	AWAIT/C	A07868	04/16/2009
LICENSING REVIEW?	N		A07868	04/16/2009
MODE CHG RESTRAINT				
NOS REVIEW?				
NRC COMMITMENT DATE				
NRC COMMITMENT?				
OUTAGE NUMBER				
PARB?	Y		A07868	04/16/2009
TRB?				



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Cross References:

<u>Ref</u>	<u>Ref</u>	<u>Ref Nbr</u>				
<u>Type</u>	<u>Reference Nbr</u>	<u>Sub</u>	<u>Type</u>	<u>Status</u>	<u>Status Date</u>	<u>Description</u>
AS	01183117		AR	COMPLETE	03/06/2010	Thorough Evaluation of Problems Resolution
AS	01183117	0100	AS	COMPLETE	08/05/2009	Review CAP Process FL-CAL-ARP-01

Material References:

<u>UTC No.</u>	<u>Manufacturer</u>	<u>Model No.</u>	<u>Serial No.</u>
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Equipment/Component References:

<u>Facility</u>	<u>Unit</u>	<u>Op Sys</u>	<u>Division</u>	<u>Area</u>	<u>System</u>	<u>Class</u>	<u>Equip Type</u>	<u>Equipment</u>	<u>Comp Type</u>	<u>Component</u>
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Reference Docs:

<u>Facility</u>	<u>Doc Type</u>	<u>Sub Type</u>	<u>Document Number</u>	<u>Sheet</u>	<u>Rev</u>	<u>Minor</u>	<u>Doc Date</u>	<u>Title</u>
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Report Date: 07/21/2010

Assign Type: RCG **Due Date:** Not Locked 4/30/2009 **Status/Date:** 85 COMPLETE 04/24/2009
Pri Resp Grp: **Aff Fac:** PI **Priority:** 5 **Unit:** **System:**
Sec Resp Grp: **Rsch Chgs:** 1
Assign To: WDHG01 **Department:** 38 Performance Improvemt
Last Name: Woodhouse, Gene D
Subject: Consolidate all grades issued by the PARB on QF-0432

Description:

Description Notes

Consolidate all grades issued by the PARB on QF-0432. Enter the averaged grade components in the attribute section of this assignment.

Last Updated By Last Updated Date

N154122	01/27/2009
N154122	01/27/2009
N154122	01/27/2009

In Progress Notes:

Notes

Due date moved per FP-PA-arp-01 to 2 weeks after RCE completed. No special approvals required as this is a RCG moved due to the RCE original date moving.

Last Updated By Last Updated Date

A07868	03/05/2009
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Completion Notes:

Note Type

Notes

General Notes	Entered averaged grade components in the attribute section.
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Last Updated By Last Updated Date

WDHG01	04/24/2009
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<u>Attribute</u>	<u>Value</u>	<u>Reqd By</u>	<u>Last Updated By</u>	<u>Last Updated Date</u>
01. RC & CC	19		WDHG01	04/24/2009
02. PROP CA'S,CA	17		WDHG01	04/24/2009
03. COMP/INT CA	9		WDHG01	04/24/2009
04. PREV SIM EVENT	9		WDHG01	04/24/2009
05. SAFE/EOC/MR	19		WDHG01	04/24/2009
06. OE	10		WDHG01	04/24/2009
07. EFF REVU PLAN	8		WDHG01	04/24/2009
08. TOTAL SCORE	91		WDHG01	04/24/2009

Attributes Notes:

<u>Attribute</u>	<u>Notes</u>	<u>Last Updated By</u>	<u>Last Updated Date</u>
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Cross References:

<u>Ref</u>	<u>Ref</u>	<u>Ref Nbr</u>				
<u>Type</u>	<u>Reference Nbr</u>	<u>Sub</u>	<u>Type</u>	<u>Status</u>	<u>Status Date</u>	<u>Description</u>

Material References:

<u>UTC No.</u>	<u>Manufacturer</u>	<u>Model No.</u>	<u>Serial No.</u>
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Equipment/Component References:

<u>Facility</u>	<u>Unit</u>	<u>Op Sys</u>	<u>Division</u>	<u>Area</u>	<u>System</u>	<u>Class</u>	<u>Equip Type</u>	<u>Equipment</u>	<u>Comp Type</u>	<u>Component</u>
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Reference Docs:

<u>Facility</u>	<u>Doc Type</u>	<u>Sub Type</u>	<u>Document Number</u>	<u>Sheet</u>	<u>Rev</u>	<u>Minor</u>	<u>Doc Date</u>	<u>Title</u>
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AT-0175 Action Request Record Report

REV.2

Report Date: 07/21/2010

Assignment Detail for AR/Assignment/Sub-Assignment Number:
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AT-0175 Action Request Record Report

REV.2

Report Date: 07/21/2010

01166830 - 03 - 00



AT-0175 Action Request Record Report

REV.2

Report Date: 07/21/2010

Assign Type: TRND **Due Date:** Not Locked 4/3/2009 **Status/Date:** 85 COMPLETE 04/01/2009
Pri Resp Grp: **Aff Fac:** PI **Priority:** 5 **Unit:** **System:**
Sec Resp Grp: **Rsch Chgs:** 0
Assign To: N154002 **Department:** 40 Licensing
Last Name: Ofarrill, Jorge L
Subject: ¿Evaluate for cross cutting aspects and update trend codes i

Description:

Description Notes

¿Evaluate for cross cutting aspects and update trend codes i

Last Updated By Last Updated Date

N154122 01/27/2009

In Progress Notes:

Notes

Last Updated By Last Updated Date

Completion Notes:

Note Type

Notes

Last Updated By Last Updated Date

General Notes	Although certainly many cross cutting aspects could be	N154002	04/01/2009
General Notes	assigned to the many events discussed in the PSDS, this CAP	N154002	04/01/2009
General Notes	in itself is a trending cap and not a performance deficiency	N154002	04/01/2009
General Notes	in and of itself. Therefore no potential cross cutting	N154002	04/01/2009
General Notes	aspect being assigned.	N154002	04/01/2009



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REV.2

Report Date: 07/21/2010

Attributes:

<u>Attribute</u>	<u>Value</u>	<u>Reqd By</u>	<u>Last Updated By</u>	<u>Last Updated Date</u>
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ADVERSE TO QUALITY?

Attributes Notes:

<u>Attribute</u>	<u>Notes</u>	<u>Last Updated By</u>	<u>Last Updated Date</u>
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Cross References:

<u>Ref</u>	<u>Ref</u>	<u>Ref Nbr</u>				
<u>Type</u>	<u>Reference Nbr</u>	<u>Sub</u>	<u>Type</u>	<u>Status</u>	<u>Status Date</u>	<u>Description</u>

Material References:

<u>UTC No.</u>	<u>Manufacturer</u>	<u>Model No.</u>	<u>Serial No.</u>
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Equipment/Component References:

<u>Facility</u>	<u>Unit</u>	<u>Op Sys</u>	<u>Division</u>	<u>Area</u>	<u>System</u>	<u>Class</u>	<u>Equip Type</u>	<u>Equipment</u>	<u>Comp Type</u>	<u>Component</u>
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Reference Docs:

<u>Facility</u>	<u>Doc Type</u>	<u>Sub Type</u>	<u>Document Number</u>	<u>Sheet</u>	<u>Rev</u>	<u>Minor</u>	<u>Doc Date</u>	<u>Title</u>
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Report Date: 07/21/2010

01166830 - 04 - 00



AT-0175 Action Request Record Report

REV.2

Report Date: 07/21/2010

Assign Type: CA **Due Date:** Not Locked 8/21/2009 **Status/Date:** 85 COMPLETE 08/20/2009
Pri Resp Grp: **Aff Fac:** PI **Priority:** 5 **Unit:** **System:**
Sec Resp Grp: **Rsch Chgs:** 0
Assign To: N152144 **Department:** 38 Performance Improvemt
Last Name: Petersen, Kurt W
Subject: Develop and implement a Site CAP resolution quality

Description:

Description Notes

Develop and implement a Site CAP resolution quality and timeliness Key Performance Indicator. The indicator should be a weighted formula based upon quality, due date and how long a CAP has been open. TRP results would be a key input to this indicator.

Last Updated By Last Updated Date

WDHG01 04/28/2009
WDHG01 04/28/2009
WDHG01 04/28/2009
WDHG01 04/28/2009
WDHG01 04/28/2009

In Progress Notes:

Notes

Last Updated By Last Updated Date

Completion Notes:

Note Type

Notes

Last Updated By Last Updated Date

General Notes	This assignment was discussed with the owed to and	N152144	08/20/2009
General Notes	the assigned to. The results from action -10 was	N152144	08/20/2009
General Notes	determined to meet the needs listed in this action. The	N152144	08/20/2009
General Notes	consideration of TRP results was discussed/evaluated	N152144	08/20/2009



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Report Date: 07/21/2010

Completion Notes:

<u>Note Type</u>	<u>Notes</u>	<u>Last Updated By</u>	<u>Last Updated Date</u>
General Notes	The TRP has had its scope increased to include ACE grading	N152144	08/20/2009
General Notes	along with a quality check. The Results from the TRP is	N152144	08/20/2009
General Notes	reviewed at the PARB along with the report card data.	N152144	08/20/2009
General Notes	These changes along with the existance of KPIs yielded	N152144	08/20/2009
General Notes	the result that an additional indicator was not necessary.	N152144	08/20/2009
General Notes	No further action is required at this time.	N152144	08/20/2009
General Notes	.	N152144	08/20/2009
General Notes	Draft indicator is attached in sharepoint (Action -10)	N152144	08/20/2009

Attributes:

<u>Attribute</u>	<u>Value</u>	<u>Reqd By</u>	<u>Last Updated By</u>	<u>Last Updated Date</u>
NRC COMMITMENT?				
LICENSING REVIEW?				
MODE CHG RESTRAINT				
MR(A)1 ACTION?				
MRFF?				
NOS REVIEW?				
NRC COMMITMENT DATE				
OUTAGE NUMBER				
PARB?				
TRB?				

Attributes Notes:

<u>Attribute</u>	<u>Notes</u>	<u>Last Updated By</u>	<u>Last Updated Date</u>
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AT-0175 Action Request Record Report

REV.2

Report Date: 07/21/2010

Cross References:

<u>Ref</u>	<u>Ref</u>	<u>Ref Nbr</u>				
<u>Type</u>	<u>Reference Nbr</u>	<u>Sub</u>	<u>Type</u>	<u>Status</u>	<u>Status Date</u>	<u>Description</u>
AS	01183117		AR	COMPLETE	03/06/2010	Thorough Evaluation of Problems Resolution

Material References:

<u>UTC No.</u>	<u>Manufacturer</u>	<u>Model No.</u>	<u>Serial No.</u>
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Equipment/Component References:

<u>Facility</u>	<u>Unit</u>	<u>Op Sys</u>	<u>Division</u>	<u>Area</u>	<u>System</u>	<u>Class</u>	<u>Equip Type</u>	<u>Equipment</u>	<u>Comp Type</u>	<u>Component</u>
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Reference Docs:

<u>Facility</u>	<u>Doc Type</u>	<u>Sub Type</u>	<u>Document Number</u>	<u>Sheet</u>	<u>Rev</u>	<u>Minor</u>	<u>Doc Date</u>	<u>Title</u>
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AT-0175 Action Request Record Report

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Report Date: 07/21/2010

01166830 - 05 - 00



AT-0175 Action Request Record Report

REV.2

Report Date: 07/21/2010

Assign Type: CA **Due Date:** Not Locked 7/29/2009 **Status/Date:** 85 COMPLETE 07/29/2009
Pri Resp Grp: **Aff Fac:** PI **Priority:** 5 **Unit:** **System:**
Sec Resp Grp: **Rsch Chgs:** 1
Assign To: N152144 **Department:** 38 Performance Improvemt
Last Name: Petersen, Kurt W
Subject: Establish management expectations and accountability

Description:

Description Notes

Establish management expectations and accountability for the following:

- PARB to define required departments for each root cause grading to ensure effective cross-organizational review.
- Change TRP review of completed actions to include.
- * Review of Level 2A actions, not just the final CAP
- * A random sample of completed 2B evaluations/actions, using total population of completed 2B actions, Sample Size Determination Table (FP-G-CD-01 Attachment 1) and random number generator.
- * Identify quality resolution / recognition
- The 2A and 2B Owed To is accountable for quality and timeliness.
- Institute a 14 day owed to review of all level 2A actions as they are closed

Last Updated By Last Updated Date

WDHG01	04/28/2009
WDHG01	04/28/2009
WDHG01	04/28/2009
WDHG01	04/28/2009
WDHG01	04/28/2009
WDHG01	04/28/2009
WDHG01	04/28/2009
WDHG01	04/28/2009
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WDHG01	04/28/2009
WDHG01	04/28/2009
WDHG01	04/28/2009
WDHG01	04/28/2009
WDHG01	04/28/2009
WDHG01	04/28/2009

Pedigree Information

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Report Date: 07/21/2010

Description:

<u>Description Notes</u>	<u>Last Updated By</u>	<u>Last Updated Date</u>
- Communicate all RCE issues and results to the site.	WDHG01	04/28/2009
This is an ongoing activity that should be monitored through the paired observation program.	WDHG01	04/29/2009
	WDHG01	04/29/2009

In Progress Notes:

<u>Notes</u>	<u>Last Updated By</u>	<u>Last Updated Date</u>
Received first extension, approval from NOS Manager and Site VP. Extended two weeks to 7/29/09.	N128062	07/15/2009

Completion Notes:

<u>Note Type</u>	<u>Notes</u>	<u>Last Updated By</u>	<u>Last Updated Date</u>
General Notes	managment expectations shown in Sharepoint	N152144	07/29/2009

Attributes:

<u>Attribute</u>	<u>Value</u>	<u>Reqd By</u>	<u>Last Updated By</u>	<u>Last Updated Date</u>
LICENSING REVIEW?				
MODE CHG RESTRAINT				
MR(A)1 ACTION?				
MRFF?				
NOS REVIEW?				
NRC COMMITMENT DATE				
NRC COMMITMENT?				
OUTAGE NUMBER				
PARB?				



AT-0175 Action Request Record Report

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Report Date: 07/21/2010

Attributes:

<u>Attribute</u>	<u>Value</u>	<u>Reqd By</u>	<u>Last Updated By</u>	<u>Last Updated Date</u>
TRB?				

Attributes Notes:

<u>Attribute</u>	<u>Notes</u>	<u>Last Updated By</u>	<u>Last Updated Date</u>
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Cross References:

<u>Ref</u>	<u>Ref</u>	<u>Ref Nbr</u>				
<u>Type</u>	<u>Reference Nbr</u>	<u>Sub</u>	<u>Type</u>	<u>Status</u>	<u>Status Date</u>	<u>Description</u>
AS	01183117		AR	COMPLETE	03/06/2010	Thorough Evaluation of Problems Resolution

Material References:

<u>UTC No.</u>	<u>Manufacturer</u>	<u>Model No.</u>	<u>Serial No.</u>
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Equipment/Component References:

<u>Facility</u>	<u>Unit</u>	<u>Op Sys</u>	<u>Division</u>	<u>Area</u>	<u>System</u>	<u>Class</u>	<u>Equip Type</u>	<u>Equipment</u>	<u>Comp Type</u>	<u>Component</u>
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Reference Docs:

<u>Facility</u>	<u>Doc Type</u>	<u>Sub Type</u>	<u>Document Number</u>	<u>Sheet</u>	<u>Rev</u>	<u>Minor</u>	<u>Doc Date</u>	<u>Title</u>
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Report Date: 07/21/2010

01166830 - 06 - 00



AT-0175 Action Request Record Report

REV.2

Report Date: 07/21/2010

Assign Type: CA **Due Date:** Not Locked 10/30/2009 **Status/Date:** 85 COMPLETE 09/10/2009
Pri Resp Grp: **Aff Fac:** PI **Priority:** 5 **Unit:** **System:**
Sec Resp Grp: **Rsch Chgs:** 3
Assign To: WNDJ04 **Department:** 38 Performance Improvemt
Last Name: Windschill, John E
Subject: Revise FP-PA-ARP-01 to address the following issues

Description:

Description Notes

Revise FP-PA-ARP-01 to address the following issues:
- Clearly state what function is responsible for writing the evaluation assignment statement ¿ Section 5.5, 5.6, 5.7.
- Designate between SCAQs, CAQs and non-CAQs as part of the screening process and documented priority.
- Attachment 9 is only referenced in step Section 5.10, but includes information relevant to multiple other steps. Provide reference to Attachment 9 in all applicable sections.
- Identify Responsible Individual(s) in left column ¿ Section 5.10.
- Screening charter states ¿determine the level of effort¿. Clarify statement to state meaning of that phrase ¿ determine if RCE, ACE, CE, or CA is needed.
- Composition of screening team allows minimum quorum (shall) that may not include representatives from

Last Updated By Last Updated Date

WDHG01 04/28/2009
WDHG01 04/28/2009
WDHG01 04/28/2009
WDHG01 04/28/2009
WDHG01 04/28/2009
WDHG01 04/28/2009
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WDHG01 04/28/2009
WDHG01 04/28/2009

Pedigree Information

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Report Date: 07/21/2010

Description:

<u>Description Notes</u>	<u>Last Updated By</u>	<u>Last Updated Date</u>
appropriate departments (should) \cup Attachment 8.	WDHG01	04/28/2009
Re-evaluate quorum requirements such that all	WDHG01	04/28/2009
appropriate departments are represented.	WDHG01	04/28/2009
- Change Attachment 10, TRP Charter to require review of	WDHG01	04/28/2009
all closed $\cup A \cup$ actions (not waiting for completion of	WDHG01	04/28/2009
CAP), and a random sample of completed $\cup B \cup$	WDHG01	04/28/2009
evaluations/actions (not waiting for completion of	WDHG01	04/28/2009
CAP), using total population of completed $\cup B \cup$ actions,	WDHG01	04/28/2009
Sample Size Determination Table (FP-G-CD-01	WDHG01	04/28/2009
Attachment 1), and random number generator. Add	WDHG01	04/28/2009
random number generator, Sample Size Determination	WDHG01	04/28/2009
Table to procedure.	WDHG01	04/28/2009
- Change Attachment 10, TRP Charter to proceduralize	WDHG01	04/28/2009
chart, currently viewed only by TRP team, to be presented	WDHG01	04/28/2009
formally to PARB. Revise chart to use \cup actions \cup as units	WDHG01	04/28/2009
on y-axis.	WDHG01	04/28/2009

In Progress Notes:

<u>Notes</u>	<u>Last Updated By</u>	<u>Last Updated Date</u>
See in progress notes for action 09 of this CAP for basis for due date extension of this action. Action 09 (priority scheme) due date was extended, and this action (06) is tied to same product (ARP-01 issuance). Approved extension to 8/19/09, from site VP. This is tied to action #9 which has been extended to 11/30/09. Received concurrence from site VP (acting) on 8/11 to extend this along with action #9. Moved due date to 11/30/09.	N128062	08/18/2009



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REV.2

Report Date: 07/21/2010

Completion Notes:

<u>Note Type</u>	<u>Notes</u>	<u>Last Updated By</u>	<u>Last Updated Date</u>
General Notes	Comments reviewed by CAP Peer Group. All	WNDJ04	09/10/2009
General Notes	incorporated in Rev 23 of ARP-01 on 8/25/09 except for:	WNDJ04	09/10/2009
General Notes	1) what function is repons for eval assignment statement	WNDJ04	09/10/2009
General Notes	(this was determined to be clear)	WNDJ04	09/10/2009
General Notes	2) Attach 9 is only referenced in 5.10 (also referenced in	WNDJ04	09/10/2009
General Notes	5.3 and 5.20)	WNDJ04	09/10/2009
General Notes	3) Change TRP Charter (decided not to use TRP for this)	WNDJ04	09/10/2009

Attributes:

<u>Attribute</u>	<u>Value</u>	<u>Reqd By</u>	<u>Last Updated By</u>	<u>Last Updated Date</u>
NOS REVIEW?				
NRC COMMITMENT?				
OUTAGE NUMBER				
PARB?				
TRB?				
LICENSING REVIEW?				
MODE CHG RESTRAINT				
MR(A)1 ACTION?				
MRFF?				
NRC COMMITMENT DATE				

Attributes Notes:

<u>Attribute</u>	<u>Notes</u>	<u>Last Updated By</u>	<u>Last Updated Date</u>
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AT-0175 Action Request Record Report

REV.2

Report Date: 07/21/2010

Cross References:

<u>Ref</u>		<u>Ref</u>	<u>Ref Nbr</u>			
<u>Type</u>	<u>Reference Nbr</u>	<u>Sub</u>	<u>Type</u>	<u>Status</u>	<u>Status Date</u>	<u>Description</u>
AS	01183116		AR	APPROVED	06/15/2009	Corrective Action Implementation Resolution
AS	01187324		AR	COMPLETE	08/26/2009	FP-PA-ARP-01, Rev 22
AS	01183117	0100	AS	COMPLETE	08/05/2009	Review CAP Process FL-CAL-ARP-01

Material References:

<u>UTC No.</u>	<u>Manufacturer</u>	<u>Model No.</u>	<u>Serial No.</u>
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Equipment/Component References:

<u>Facility</u>	<u>Unit</u>	<u>Op Sys</u>	<u>Division</u>	<u>Area</u>	<u>System</u>	<u>Class</u>	<u>Equip Type</u>	<u>Equipment</u>	<u>Comp Type</u>	<u>Component</u>
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Reference Docs:

<u>Facility</u>	<u>Doc Type</u>	<u>Sub Type</u>	<u>Document Number</u>	<u>Sheet</u>	<u>Rev</u>	<u>Minor</u>	<u>Doc Date</u>	<u>Title</u>
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AT-0175 Action Request Record Report

REV.2

Report Date: 07/21/2010

01166830 - 07 - 00



AT-0175 Action Request Record Report

REV.2

Report Date: 07/21/2010

Assign Type: CA **Due Date:** Not Locked 7/30/2009 **Status/Date:** 85 COMPLETE 07/30/2009
Pri Resp Grp: **Aff Fac:** PI **Priority:** 5 **Unit:** **System:**
Sec Resp Grp: **Rsch Chgs:** 0
Assign To: NRTS01 **Department:** 80 Site Management
Last Name: Northard, Scott D
Subject: Complete a site rollout of the Principles for a Strong NSC

Description:

Description Notes

Complete a site rollout of the Principles for a Strong Nuclear Safety Culture. This should include continuing exposure at Management meetings, Leadership Forums, D15 Team Notes write-ups, and observations, mentoring and coaching at all levels of management and supervision. (Closeout will be based on initial rollout. Use FSA to validate.)

Last Updated By Last Updated Date

WDHG01 04/28/2009
WDHG01 04/28/2009
WDHG01 04/28/2009
WDHG01 04/28/2009
WDHG01 04/28/2009
WDHG01 04/28/2009
WDHG01 04/28/2009

In Progress Notes:

Notes

Last Updated By Last Updated Date

Completion Notes:

Note Type

Notes

Last Updated By Last Updated Date

General Notes The Principles for a Strong Nuclear Safety Culture have been NRTS01 07/30/2009
General Notes effectively rolled out at Prairie Island. Posters were made NRTS01 07/30/2009



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REV.2

Report Date: 07/21/2010

Completion Notes:

<u>Note Type</u>	<u>Notes</u>	<u>Last Updated By</u>	<u>Last Updated Date</u>
General Notes	and installed in all major plant conference rooms and the	NRTS01	07/30/2009
General Notes	Training Center. The explosive sniffers in the Security	NRTS01	07/30/2009
General Notes	Building are rotating a message for all eight Principles so	NRTS01	07/30/2009
General Notes	all plant employees hear the message every time they enter	NRTS01	07/30/2009
General Notes	the protected area. A leadership forum was held to review	NRTS01	07/30/2009
General Notes	the principles and INPO pocket books have been provided to	NRTS01	07/30/2009
General Notes	all Managers and Supervisors. The Principles were also	NRTS01	07/30/2009
General Notes	reviewed at the Site VP staff meeting. Finally, the	NRTS01	07/30/2009
General Notes	Principles are used regularly by the leadership team for	NRTS01	07/30/2009
General Notes	meeting safety moments to reinforce the use of the	NRTS01	07/30/2009
General Notes	principles in ensuring nuclear safety.	NRTS01	07/30/2009
General Notes	This action is complete. SDN	NRTS01	07/30/2009

Attributes:

<u>Attribute</u>	<u>Value</u>	<u>Reqd By</u>	<u>Last Updated By</u>	<u>Last Updated Date</u>
LICENSING REVIEW?				
MODE CHG RESTRAINT				
MR(A)1 ACTION?				
MRFF?				
NOS REVIEW?				
NRC COMMITMENT DATE				
NRC COMMITMENT?				
OUTAGE NUMBER				
PARB?				
TRB?				



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REV.2

Report Date: 07/21/2010

Attributes Notes:

<u>Attribute</u>	<u>Notes</u>	<u>Last Updated By</u>	<u>Last Updated Date</u>
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Cross References:

<u>Ref</u> <u>Type</u>	<u>Reference Nbr</u>	<u>Ref</u> <u>Sub</u>	<u>Ref Nbr</u> <u>Type</u>	<u>Status</u>	<u>Status Date</u>	<u>Description</u>
AS	01183116		AR	APPROVED	06/15/2009	Corrective Action Implementation Resolution

Material References:

<u>UTC No.</u>	<u>Manufacturer</u>	<u>Model No.</u>	<u>Serial No.</u>
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Equipment/Component References:

<u>Facility</u>	<u>Unit</u>	<u>Op Sys</u>	<u>Division</u>	<u>Area</u>	<u>System</u>	<u>Class</u>	<u>Equip Type</u>	<u>Equipment</u>	<u>Comp Type</u>	<u>Component</u>
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Reference Docs:

<u>Facility</u>	<u>Doc Type</u>	<u>Sub Type</u>	<u>Document Number</u>	<u>Sheet</u>	<u>Rev</u>	<u>Minor</u>	<u>Doc Date</u>	<u>Title</u>
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REV.2

Report Date: 07/21/2010

01166830 - 08 - 00



AT-0175 Action Request Record Report

REV.2

Report Date: 07/21/2010

Assign Type: CA **Due Date:** Not Locked 9/3/2009 **Status/Date:** 85 COMPLETE 09/03/2009
Pri Resp Grp: **Aff Fac:** PI **Priority:** 5 **Unit:** **System:**
Sec Resp Grp: **Rsch Chgs:** 1
Assign To: N152144 **Department:** 38 Performance Improvemt
Last Name: Petersen, Kurt W
Subject: Once CAP priority matrix has been created

Description:

Description Notes

Once CAP priority matrix has been created, complete a department led review of current AR backlog to apply the new standards, and prioritizing / managing employee work load.

Last Updated By Last Updated Date

WDHG01 04/28/2009
WDHG01 04/28/2009
WDHG01 04/28/2009
WDHG01 04/28/2009

In Progress Notes:

Notes

CAPR (assignment #9) extended to 8/18. This assignment follows the CAPR, a pproved by PARB (and site VP) to align with new CAPR due date of 8/18. New due date for this assignment is 9/3/09. Reference in prog notes for assi gnment #9 of this AR.

Last Updated By Last Updated Date

N128062 07/21/2009

Completion Notes:

Note Type

Notes

Last Updated By Last Updated Date

General Notes	A and B severity level actions have been reviewed for	N152144	09/03/2009
General Notes	adequacy and meeting the orginally identified issue. The	N152144	09/03/2009



AT-0175 Action Request Record Report

REV.2

Report Date: 07/21/2010

Completion Notes:

<u>Note Type</u>	<u>Notes</u>	<u>Last Updated By</u>	<u>Last Updated Date</u>
General Notes	results are attached in sharepoint. The items listed in red	N152144	09/03/2009
General Notes	will be presented to the PARB collectively as well as the	N152144	09/03/2009
General Notes	individual departments for disposition. The recommendations	N152144	09/03/2009
General Notes	as a whole will be approved by PARB duing a meeting held	N152144	09/03/2009
General Notes	during the refueling outage.	N152144	09/03/2009
General Notes	Additional action created to ensure that PARB reviews this	N152144	09/03/2009
General Notes	listing and approves decisions	N152144	09/03/2009

Attributes:

<u>Attribute</u>	<u>Value</u>	<u>Reqd By</u>	<u>Last Updated By</u>	<u>Last Updated Date</u>
LICENSING REVIEW?				
MODE CHG RESTRAINT				
MR(A)1 ACTION?				
MRFF?				
NOS REVIEW?				
NRC COMMITMENT DATE				
NRC COMMITMENT?				
OUTAGE NUMBER				
PARB?				
TRB?				

Attributes Notes:

<u>Attribute</u>	<u>Notes</u>	<u>Last Updated By</u>	<u>Last Updated Date</u>
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AT-0175 Action Request Record Report

REV.2

Report Date: 07/21/2010

Cross References:

<u>Ref</u>	<u>Ref</u>	<u>Ref Nbr</u>				
<u>Type</u>	<u>Reference Nbr</u>	<u>Sub</u>	<u>Type</u>	<u>Status</u>	<u>Status Date</u>	<u>Description</u>
AS	01183117		AR	COMPLETE	03/06/2010	Thorough Evaluation of Problems Resolution

Material References:

<u>UTC No.</u>	<u>Manufacturer</u>	<u>Model No.</u>	<u>Serial No.</u>
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Equipment/Component References:

<u>Facility</u>	<u>Unit</u>	<u>Op Sys</u>	<u>Division</u>	<u>Area</u>	<u>System</u>	<u>Class</u>	<u>Equip Type</u>	<u>Equipment</u>	<u>Comp Type</u>	<u>Component</u>
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Reference Docs:

<u>Facility</u>	<u>Doc Type</u>	<u>Sub Type</u>	<u>Document Number</u>	<u>Sheet</u>	<u>Rev</u>	<u>Minor</u>	<u>Doc Date</u>	<u>Title</u>
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AT-0175 Action Request Record Report

REV.2

Report Date: 07/21/2010

01166830 - 09 - 00



AT-0175 Action Request Record Report

REV.2

Report Date: 07/21/2010

Assign Type:	CAPR	Due Date:	Not Locked 10/30/2009	Status/Date:	85 COMPLETE 09/10/2009
Pri Resp Grp:		Aff Fac:	PI	Priority:	5
Sec Resp Grp:		Unit:		System:	
Assign To:	WNDJ04	Department:	38	RsCh Chgs:	2
Last Name:	Windschill, John E		Performce Improvemnt		
Subject:	Develop and implement a CAP priority matrix				

Description:

Description Notes

Develop and implement a CAP priority matrix designed to interface with the work management process and the engineering work management system.

Last Updated By Last Updated Date

WDHG01	04/28/2009
WDHG01	04/28/2009
WDHG01	04/28/2009



AT-0175 Action Request Record Report

REV.2

Report Date: 07/21/2010

In Progress Notes:

Notes

Last Updated By Last Updated Date

Request due date change to 8/18/09. Prioritization scheme has been developed (see Sharepoint for this action), scheme has been reviewed by management, and ITAR 1186470 has been initiated to accomplish the change within the AT-0085 report. Revised guidance for ARP-01 has also been drafted and sent for review via PCR 1187324. Translation of scheme into a revised AT-0085 report is complex and will require significant testing. IT and CAP representatives from Marquette met and determined that 8/18/09 is an appropriate due date for the remaining work. Interim actions will continue (management reinforcing need to review CAP Significance Level on AT-0085 when prioritizing work list).

N128062 08/18/2009

Due date extension to 8/18/09 approved by PARB on 7/14/09. Extension request presented to PARB to extend due date to 11/02/09 due to difficulty with making changes to PASSPORT and associated reports. Approximately two man-months of efforts have been committed to date to implement these changes. PARB approved due date extension to 11/30/09 based upon preference to implement this change after 1R26. Also received concurrence from NIOS manager for the extension. LKC

Completion Notes:

Note Type

Notes

Last Updated By Last Updated Date

General Notes	CAP Priority scheme codified in rev 23 of ARP-01 issued	WNDJ04	09/10/2009
General Notes	on 8/25/09. Priority appears on modified AT-0085	WNDJ04	09/10/2009
General Notes	Supervisors repor. Priority combines input from CAP	WNDJ04	09/10/2009
General Notes	Significance Level, CAQ attribute, and assignment urgency.	WNDJ04	09/10/2009



AT-0175 Action Request Record Report

REV.2

Report Date: 07/21/2010

Attributes:

<u>Attribute</u>	<u>Value</u>	<u>Reqd By</u>	<u>Last Updated By</u>	<u>Last Updated Date</u>
NRC COMMITMENT?	N	COMPLETE	WNDJ04	09/10/2009
EFR CREATED?	Y	COMPLETE	WNDJ04	09/10/2009
MODE CHG RESTRAINT			WNDJ04	09/10/2009
OUTAGE NUMBER				
PARB?				
TRB?				

Attributes Notes:

<u>Attribute</u>	<u>Notes</u>	<u>Last Updated By</u>	<u>Last Updated Date</u>
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Cross References:

<u>Ref</u>	<u>Ref</u>	<u>Ref Nbr</u>				
<u>Type</u>	<u>Reference Nbr</u>	<u>Sub</u>	<u>Type</u>	<u>Status</u>	<u>Status Date</u>	<u>Description</u>
AS	01183117		AR	COMPLETE	03/06/2010	Thorough Evaluation of Problems Resolution
AS	01201837		AR	COMP-NA	01/07/2010	FP-PA-ARP-01, rev 23

Material References:

<u>UTC No.</u>	<u>Manufacturer</u>	<u>Model No.</u>	<u>Serial No.</u>
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Equipment/Component References:

<u>Facility</u>	<u>Unit</u>	<u>Op Sys</u>	<u>Division</u>	<u>Area</u>	<u>System</u>	<u>Class</u>	<u>Equip Type</u>	<u>Equipment</u>	<u>Comp Type</u>	<u>Component</u>
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Reference Docs:

<u>Facility</u>	<u>Doc Type</u>	<u>Sub Type</u>	<u>Document Number</u>	<u>Sheet</u>	<u>Rev</u>	<u>Minor</u>	<u>Doc Date</u>	<u>Title</u>
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AT-0175 Action Request Record Report

REV.2

Report Date: 07/21/2010

Assignment Detail for AR/Assignment/Sub-Assignment Number:
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AT-0175 Action Request Record Report

REV.2

Report Date: 07/21/2010

01166830 - 10 - 00



AT-0175 Action Request Record Report

REV.2

Report Date: 07/21/2010

Assign Type: CA **Due Date:** Not Locked 8/21/2009 **Status/Date:** 85 COMPLETE 08/21/2009
Pri Resp Grp: **Aff Fac:** PI **Priority:** 5 **Unit:** **System:**
Sec Resp Grp: **Rsch Chgs:** 2
Assign To: WNDJ04 **Department:** 38 Performance Improvemt
Last Name: Windschill, John E
Subject: Develop and implement a Department CAP Health Indicator

Description:

Description Notes

Develop and implement a Department CAP Health Indicator. The indicator should be a weighted formula based upon quality, due date and how long a CAP has been open.

Last Updated By Last Updated Date

WDHG01 04/28/2009
WDHG01 04/28/2009
WDHG01 04/28/2009
WDHG01 04/28/2009

In Progress Notes:

Notes

This CAPR was changed to a CA by the 5/25/09 PARB Meeting. A new CAP was created to add accountability for TRP failed Actions / CAPs
First extension granted by site VP (acting) on 7/30. Due date approved to 8/21/09.

Last Updated By Last Updated Date

N128062 07/31/2009

Completion Notes:

Note Type

Notes

Last Updated By Last Updated Date

General Notes	A department CAP report card was developed as a Fleet	WNDJ04	08/21/2009
General Notes	department KPI. The KPI was approved by the Exec Sponsor	WNDJ04	08/21/2009



AT-0175 Action Request Record Report

REV.2

Report Date: 07/21/2010

Completion Notes:

<u>Note Type</u>	<u>Notes</u>	<u>Last Updated By</u>	<u>Last Updated Date</u>
General Notes	on 8/20/09, and loaded into the fleet KPI program on	WNDJ04	08/21/2009
General Notes	8/21/09. The KPI effectively provides an overall grade	WNDJ04	08/21/2009
General Notes	as determined by a senior site manager of A, B, C, D or F of	WNDJ04	08/21/2009
General Notes	each major site department's support of the CAP.	WNDJ04	08/21/2009

Attributes:

<u>Attribute</u>	<u>Value</u>	<u>Reqd By</u>	<u>Last Updated By</u>	<u>Last Updated Date</u>
LICENSING REVIEW?				
MODE CHG RESTRAINT				
MR(A)1 ACTION?				
MRFF?				
NOS REVIEW?				
NRC COMMITMENT DATE				
NRC COMMITMENT?				
OUTAGE NUMBER				
PARB?				
TRB?				

Attributes Notes:

<u>Attribute</u>	<u>Notes</u>	<u>Last Updated By</u>	<u>Last Updated Date</u>
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AT-0175 Action Request Record Report

REV.2

Report Date: 07/21/2010

Cross References:

<u>Ref</u>	<u>Ref</u>	<u>Ref Nbr</u>				
<u>Type</u>	<u>Reference Nbr</u>	<u>Sub</u>	<u>Type</u>	<u>Status</u>	<u>Status Date</u>	<u>Description</u>
AS	01183117		AR	COMPLETE	03/06/2010	Thorough Evaluation of Problems Resolution
AS	01194548		AR	COMPLETE	08/21/2009	Performance Improvement Report Card - New Dpt KPI

Material References:

<u>UTC No.</u>	<u>Manufacturer</u>	<u>Model No.</u>	<u>Serial No.</u>
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Equipment/Component References:

<u>Facility</u>	<u>Unit</u>	<u>Op Sys</u>	<u>Division</u>	<u>Area</u>	<u>System</u>	<u>Class</u>	<u>Equip Type</u>	<u>Equipment</u>	<u>Comp Type</u>	<u>Component</u>
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Reference Docs:

<u>Facility</u>	<u>Doc Type</u>	<u>Sub Type</u>	<u>Document Number</u>	<u>Sheet</u>	<u>Rev</u>	<u>Minor</u>	<u>Doc Date</u>	<u>Title</u>
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AT-0175 Action Request Record Report

REV.2

Report Date: 07/21/2010

01166830 - 11 - 00



AT-0175 Action Request Record Report

REV.2

Report Date: 07/21/2010

Assign Type: CA **Due Date:** Not Locked 6/15/2009 **Status/Date:** 85 COMPLETE 06/02/2009
Pri Resp Grp: **Aff Fac:** PI **Priority:** 5 **Unit:** **System:**
Sec Resp Grp: **Rsch Chgs:** 0
Assign To: A07868 **Department:** 80 Site Management
Last Name: Allen, Timothy E
Subject: Change default sort settings for AT-0085

Description:

Description Notes

Change default sort settings for AT-0085 Supervisors
Report to severity level, then due date.
Initiate an ITAR and track to closure with this CA.

Last Updated By Last Updated Date

WDHG01 04/28/2009
WDHG01 04/28/2009
WDHG01 04/29/2009

In Progress Notes:

Notes

Last Updated By Last Updated Date

Completion Notes:

Note Type

Notes

Last Updated By Last Updated Date

General Notes This CA created in error from original report. It is being
General Notes tracked as part of CAP 1184090-01 which tracks the Non level
General Notes A actions found during this RCE.

A07868 06/02/2009
A07868 06/02/2009
A07868 06/02/2009



AT-0175 Action Request Record Report

REV.2

Report Date: 07/21/2010

Attributes:

<u>Attribute</u>	<u>Value</u>	<u>Reqd By</u>	<u>Last Updated By</u>	<u>Last Updated Date</u>
NRC COMMITMENT DATE				
LICENSING REVIEW?				
MODE CHG RESTRAINT				
MR(A)1 ACTION?				
MRFF?				
NOS REVIEW?				
NRC COMMITMENT?				
OUTAGE NUMBER				
PARB?				
TRB?				

Attributes Notes:

<u>Attribute</u>	<u>Notes</u>	<u>Last Updated By</u>	<u>Last Updated Date</u>
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Cross References:

<u>Ref</u>	<u>Ref</u>	<u>Ref Nbr</u>				
<u>Type</u>	<u>Reference Nbr</u>	<u>Sub</u>	<u>Type</u>	<u>Status</u>	<u>Status Date</u>	<u>Description</u>
AS	01183117		AR	COMPLETE	03/06/2010	Thorough Evaluation of Problems Resolution

Material References:

<u>UTC No.</u>	<u>Manufacturer</u>	<u>Model No.</u>	<u>Serial No.</u>
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Equipment/Component References:

<u>Facility</u>	<u>Unit</u>	<u>Op Sys</u>	<u>Division</u>	<u>Area</u>	<u>System</u>	<u>Class</u>	<u>Equip Type</u>	<u>Equipment</u>	<u>Comp Type</u>	<u>Component</u>
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AT-0175 Action Request Record Report

REV.2

Report Date: 07/21/2010

Reference Docs:

<u>Facility</u>	<u>Doc Type</u>	<u>Sub Type</u>	<u>Document Number</u>	<u>Sheet</u>	<u>Rev</u>	<u>Minor</u>	<u>Doc Date</u>	<u>Title</u>
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AT-0175 Action Request Record Report

REV.2

Report Date: 07/21/2010

01166830 - 12 - 00



AT-0175 Action Request Record Report

REV.2

Report Date: 07/21/2010

Assign Type: CA **Due Date:** Not Locked 6/15/2009 **Status/Date:** 85 COMPLETE 06/02/2009
Pri Resp Grp: **Aff Fac:** PI **Priority:** 5 **Unit:** **System:**
Sec Resp Grp: **Rsch Chgs:** 0
Assign To: A07868 **Department:** 80 Site Management
Last Name: Allen, Timothy E
Subject: Change the Corrective Action Effectiveness Indicator

Description:

Description Notes

Change the Corrective Action Effectiveness Indicator
(MRM) ;meets; standard to 100%

Last Updated By Last Updated Date

WDHG01 04/28/2009
WDHG01 04/28/2009

In Progress Notes:

Notes

Last Updated By Last Updated Date

Completion Notes:

Note Type

Notes

Last Updated By Last Updated Date

General Notes	This CA created in error during the original RCE action data	A07868	06/02/2009
General Notes	entry. It is being completed by CAP 1184090-02 which tracks	A07868	06/02/2009
General Notes	the non level A actions found during this RCE.	A07868	06/02/2009

Attributes:

Attribute

Value

Reqd By

Last Updated By Last Updated Date

LICENSING REVIEW?



AT-0175 Action Request Record Report

REV.2

Report Date: 07/21/2010

Attributes:

<u>Attribute</u>	<u>Value</u>	<u>Reqd By</u>	<u>Last Updated By</u>	<u>Last Updated Date</u>
MODE CHG RESTRAINT				
MR(A)1 ACTION?				
MRFF?				
NOS REVIEW?				
NRC COMMITMENT DATE				
NRC COMMITMENT?				
OUTAGE NUMBER				
PARB?				
TRB?				

Attributes Notes:

<u>Attribute</u>	<u>Notes</u>	<u>Last Updated By</u>	<u>Last Updated Date</u>
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Cross References:

<u>Ref</u>	<u>Ref</u>	<u>Ref Nbr</u>				
<u>Type</u>	<u>Reference Nbr</u>	<u>Sub</u>	<u>Type</u>	<u>Status</u>	<u>Status Date</u>	<u>Description</u>
AS	01183117		AR	COMPLETE	03/06/2010	Thorough Evaluation of Problems Resolution

Material References:

<u>UTC No.</u>	<u>Manufacturer</u>	<u>Model No.</u>	<u>Serial No.</u>
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Equipment/Component References:

<u>Facility</u>	<u>Unit</u>	<u>Op Sys</u>	<u>Division</u>	<u>Area</u>	<u>System</u>	<u>Class</u>	<u>Equip Type</u>	<u>Equipment</u>	<u>Comp Type</u>	<u>Component</u>
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AT-0175 Action Request Record Report

REV.2

Report Date: 07/21/2010

Reference Docs:

<u>Facility</u>	<u>Doc Type</u>	<u>Sub Type</u>	<u>Document Number</u>	<u>Sheet</u>	<u>Rev</u>	<u>Minor</u>	<u>Doc Date</u>	<u>Title</u>
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AT-0175 Action Request Record Report

REV.2

Report Date: 07/21/2010

01166830 - 13 - 00



AT-0175 Action Request Record Report

REV.2

Report Date: 07/21/2010

Assign Type: EFR **Due Date:** Not Locked 6/30/2010 **Status/Date:** 95 CANCELED 06/16/2010
Pri Resp Grp: **Aff Fac:** PI **Priority:** 5 **Unit:** **System:**
Sec Resp Grp: **Rsch Chgs:** 1
Assign To: N154161 **Department:** 38 Performance Improvemt
Last Name: Notbohm, Andrew D
Subject: Complete a FSA on CAP process effectiveness

Description:

Description Notes

Complete a FSA on CAP process effectiveness based on EFR results of severity level 2A2 and 2B2 CAPs closed in the last half of 2009, newly developed site and department quality indicators, and NOS audit reports. Success will be indicated by: all CAPs are prioritized with the new codes, the new quality indicators are being utilized by departments to drive performance (suggest interviews of employees), and the new indicators are blue or green trending to blue for 1st quarter of 2010.

Last Updated By Last Updated Date

WDHG01	04/28/2009
WDHG01	04/28/2009
WDHG01	04/28/2009
WDHG01	04/29/2009
WDHG01	04/29/2009
WDHG01	04/29/2009
WDHG01	04/29/2009
WDHG01	04/29/2009
WDHG01	04/29/2009

In Progress Notes:

Notes

Due date extended with permission from NOS, Business Support Manager and Site VP. approval attached in sharepoint.

Last Updated By Last Updated Date

N104369	03/25/2010
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AT-0175 Action Request Record Report

REV.2

Report Date: 07/21/2010

Completion Notes:

<u>Note Type</u>	<u>Notes</u>	<u>Last Updated By</u>	<u>Last Updated Date</u>
General Notes	6/15/10 PARB approved cancellation of this EFR with the	N154161	06/16/2010
General Notes	decision that the metrics measured in EFR 01187837-20 (A	N154161	06/16/2010
General Notes	-level) address the concerns of this EFR. SVP, Site	N154161	06/16/2010
General Notes	Director and NOS manager were present and concurred with	N154161	06/16/2010
General Notes	PARB approval.	N154161	06/16/2010

Attributes:

<u>Attribute</u>	<u>Value</u>	<u>Reqd By</u>	<u>Last Updated By</u>	<u>Last Updated Date</u>
EFR CONCLUSION		COMPLETE		
PARB?				
TRB?				

Attributes Notes:

<u>Attribute</u>	<u>Notes</u>	<u>Last Updated By</u>	<u>Last Updated Date</u>
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Cross References:

<u>Ref</u>	<u>Ref</u>	<u>Ref Nbr</u>				
<u>Type</u>	<u>Reference Nbr</u>	<u>Sub</u>	<u>Type</u>	<u>Status</u>	<u>Status Date</u>	<u>Description</u>
AS	01205468		AR	APPROVED	11/17/2009	FSA: Pre-71152 PI&R NRC inspection/CAP Process Effectiveness

Material References:

<u>UTC No.</u>	<u>Manufacturer</u>	<u>Model No.</u>	<u>Serial No.</u>
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AT-0175 Action Request Record Report

REV.2

Report Date: 07/21/2010

Equipment/Component References:

<u>Facility</u>	<u>Unit</u>	<u>Op Sys</u>	<u>Division</u>	<u>Area</u>	<u>System</u>	<u>Class</u>	<u>Equip Type</u>	<u>Equipment</u>	<u>Comp Type</u>	<u>Component</u>
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Reference Docs:

<u>Facility</u>	<u>Doc Type</u>	<u>Sub Type</u>	<u>Document Number</u>	<u>Sheet</u>	<u>Rev</u>	<u>Minor</u>	<u>Doc Date</u>	<u>Title</u>
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AT-0175 Action Request Record Report

REV.2

Report Date: 07/21/2010

01166830 - 14 - 00



AT-0175 Action Request Record Report

REV.2

Report Date: 07/21/2010

Assign Type: EFR **Due Date:** Not Locked 12/15/2010 **Status/Date:** 48 ACC/ASG 06/16/2010
Pri Resp Grp: **Aff Fac:** PI **Priority:** 5 **Unit:** **System:**
Sec Resp Grp: **Rsch Chgs:** 0
Assign To: N154161 **Department:** 38 Performance Improvemt
Last Name: Notbohm, Andrew D
Subject: Complete a FSA on CAP process effectiveness by eval of EFR 1

Description:

Description Notes

Complete a FSA on CAP process effectiveness by evaluating EFR #1 results, site and department quality indicators, and NOS audit reports. Success is effective resolution of significant issues as indicated by: increased trend in equipment reliability, reduced operation transients and no repeat non-compliance with regulatory requirements. Measurement will be reflected in the MRM KPIs indicating Blue for the identified areas. Due date 4th quarter of 2010.

Last Updated By Last Updated Date

WDHG01	04/28/2009
WDHG01	04/28/2009
WDHG01	04/29/2009
WDHG01	04/29/2009
WDHG01	04/29/2009
WDHG01	04/29/2009
WDHG01	04/29/2009
WDHG01	04/29/2009
WDHG01	04/28/2009

In Progress Notes:

Notes

Last Updated By Last Updated Date



AT-0175 Action Request Record Report

REV.2

Report Date: 07/21/2010

Completion Notes:

<u>Note Type</u>	<u>Notes</u>	<u>Last Updated By</u>	<u>Last Updated Date</u>
General Notes	6/15/2010 PARB approved modification of EFR to the	N154161	06/16/2010
General Notes	following:	N154161	06/16/2010
General Notes	Complete an effectiveness review (use QF-0422) for the	N154161	06/16/2010
General Notes	following metrics:	N154161	06/16/2010
General Notes	1) Equipment Reliability Unit 1	N154161	06/16/2010
General Notes	2) Equipment Reliability Unit 2	N154161	06/16/2010
General Notes	3) Reactivity Management Events Unit 1	N154161	06/16/2010
General Notes	4) Reactivity Management Events Unit 2	N154161	06/16/2010
General Notes	5) NRC Findings and Violations	N154161	06/16/2010
General Notes	Success will be if each indicator has an improving trend	N154161	06/16/2010
General Notes	using three (3) data points (most recent 3 months).	N154161	06/16/2010
General Notes	Improving trend method defined in FP-PA-PI-01.	N154161	06/16/2010

Attributes:

<u>Attribute</u>	<u>Value</u>	<u>Reqd By</u>	<u>Last Updated By</u>	<u>Last Updated Date</u>
EFR CONCLUSION		COMPLETE		
PARB?				
TRB?				

Attributes Notes:

<u>Attribute</u>	<u>Notes</u>	<u>Last Updated By</u>	<u>Last Updated Date</u>
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AT-0175 Action Request Record Report

REV.2

Report Date: 07/21/2010

Cross References:

<u>Ref</u>	<u>Ref</u>	<u>Ref Nbr</u>				
<u>Type</u>	<u>Reference Nbr</u>	<u>Sub</u>	<u>Type</u>	<u>Status</u>	<u>Status Date</u>	<u>Description</u>

Material References:

<u>UTC No.</u>	<u>Manufacturer</u>	<u>Model No.</u>	<u>Serial No.</u>
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Equipment/Component References:

<u>Facility</u>	<u>Unit</u>	<u>Op Sys</u>	<u>Division</u>	<u>Area</u>	<u>System</u>	<u>Class</u>	<u>Equip Type</u>	<u>Equipment</u>	<u>Comp Type</u>	<u>Component</u>
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Reference Docs:

<u>Facility</u>	<u>Doc Type</u>	<u>Sub Type</u>	<u>Document Number</u>	<u>Sheet</u>	<u>Rev</u>	<u>Minor</u>	<u>Doc Date</u>	<u>Title</u>
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AT-0175 Action Request Record Report

REV.2

Report Date: 07/21/2010

01166830 - 15 - 00



AT-0175 Action Request Record Report

REV.2

Report Date: 07/21/2010

Assign Type: CAPR **Due Date:** Not Locked 7/17/2009 **Status/Date:** 85 COMPLETE 07/14/2009
Pri Resp Grp: **Aff Fac:** PI **Priority:** 5 **Unit:** **System:**
Sec Resp Grp: **Rsch Chgs:** 0
Assign To: N152144 **Department:** 38 Performance Improvemt
Last Name: Petersen, Kurt W
Subject: Provide Root Cause Evaluation refresher training

Description:

Description Notes

Provide Root Cause Evaluation refresher training to all qualified RCE personnel.

Last Updated By Last Updated Date

WDHG01 05/11/2009
WDHG01 05/11/2009

In Progress Notes:

Notes

THIS CAPR added as part of the final PARB review

Last Updated By Last Updated Date

A07868 06/02/2009

Completion Notes:

Note Type

Notes

Last Updated By Last Updated Date

General Notes Refresher training using IP95001 and IMC 0305 critiera was
General Notes completed on May 4- May 7, 2009. Action Complete
General Notes .
General Notes From a training/qualification prospect - the RCE qual
General Notes requirements listed on the JFG and in the RCE Manual have
General Notes not changed yet. At this time there are 3 still shown as

N152144 06/02/2009
N152144 06/02/2009
N152144 07/14/2009
N152144 07/14/2009
N152144 07/14/2009
N152144 07/14/2009



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REV.2

Report Date: 07/21/2010

Completion Notes:

<u>Note Type</u>	<u>Notes</u>	<u>Last Updated By</u>	<u>Last Updated Date</u>
General Notes	qualified people are still "technically" qualified in	N152144	07/14/2009
General Notes	accordance with the PA program. The RCE quals are NOT	N152144	07/14/2009
General Notes	tracked on their accredited program qual matrix (ESP or RP,	N152144	07/14/2009
General Notes	etc.) they are tracked only in PA. Once the program is	N152144	07/14/2009
General Notes	formally changed, then they would definitely NOT meet the	N152144	07/14/2009
General Notes	qual requirements and would come off the PA qual matrix.	N152144	07/14/2009
General Notes	.	N152144	07/14/2009
General Notes	We are at little or no risk with the qual list still showing	N152144	07/14/2009
General Notes	these 3 people qualified as NO ONE is assigned as a RCE	N152144	07/14/2009
General Notes	Investigator without station mgt. approval. We are NOT	N152144	07/14/2009
General Notes	unnecessarily vulnerable for an unqualified worker event	N152144	07/14/2009
General Notes	because of the way RCE teams are manned at this time.	N152144	07/14/2009
General Notes	.	N152144	07/14/2009
General Notes	The three remaining individuals that have not completed the	N152144	07/14/2009
General Notes	refresher training will be disqualified as a matter of	N152144	07/14/2009
General Notes	managment decison by the end of August 2009	N152144	07/14/2009

Attributes:

<u>Attribute</u>	<u>Value</u>	<u>Reqd By</u>	<u>Last Updated By</u>	<u>Last Updated Date</u>
EFR CREATED?	N	COMPLETE	N152144	06/02/2009
MODE CHG RESTRAINT				
NRC COMMITMENT?	N	COMPLETE	N152144	06/02/2009
OUTAGE NUMBER				
PARB?				
TRB?				



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REV.2

Report Date: 07/21/2010

Attributes Notes:

<u>Attribute</u>	<u>Notes</u>	<u>Last Updated By</u>	<u>Last Updated Date</u>
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Cross References:

<u>Ref</u> <u>Type</u>	<u>Reference Nbr</u>	<u>Ref</u> <u>Sub</u>	<u>Ref Nbr</u> <u>Type</u>	<u>Status</u>	<u>Status Date</u>	<u>Description</u>
AS	01183117		AR	COMPLETE	03/06/2010	Thorough Evaluation of Problems Resolution

Material References:

<u>UTC No.</u>	<u>Manufacturer</u>	<u>Model No.</u>	<u>Serial No.</u>
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Equipment/Component References:

<u>Facility</u>	<u>Unit</u>	<u>Op Sys</u>	<u>Division</u>	<u>Area</u>	<u>System</u>	<u>Class</u>	<u>Equip Type</u>	<u>Equipment</u>	<u>Comp Type</u>	<u>Component</u>
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Reference Docs:

<u>Facility</u>	<u>Doc Type</u>	<u>Sub Type</u>	<u>Document Number</u>	<u>Sheet</u>	<u>Rev</u>	<u>Minor</u>	<u>Doc Date</u>	<u>Title</u>
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AT-0175 Action Request Record Report

REV.2

Report Date: 07/21/2010

01166830 - 16 - 00



AT-0175 Action Request Record Report

REV.2

Report Date: 07/21/2010

Assign Type: OTHA **Due Date:** Not Locked 9/18/2009 **Status/Date:** 85 COMPLETE 09/18/2009
Pri Resp Grp: **Aff Fac:** PI **Priority:** 5 **Unit:** **System:**
Sec Resp Grp: **Rsch Chgs:** 1
Assign To: N152144 **Department:** 38 Performance Improvemt
Last Name: Petersen, Kurt W
Subject: Accountability for TRP identified failures

Description:

Description Notes

Develop and implement an accountability model for quality failures found by the TRP. This model should parallel what ever accountability model is used by the site for over due CAP actions. As this is a CAPR, the actions need to be formalized / put into process

Last Updated By Last Updated Date

A07868	06/02/2009
A07868	06/02/2009
A07868	06/02/2009
A07868	06/02/2009
A07868	06/02/2009

In Progress Notes:

Notes

Last Updated By Last Updated Date

Completion Notes:

Note Type

Notes

Last Updated By Last Updated Date

General Notes	The accountability model to be used for TRP failures will be	N152144	07/15/2009
General Notes	to provide the information on why the reviewed items (in TRP)	N152144	07/15/2009
General Notes	did not meet the requirements set forth in FP-PA-ARP-01	N152144	07/15/2009
General Notes	Attachement 10 to both the owed to AND the site VP or	N152144	07/15/2009



AT-0175 Action Request Record Report

REV.2

Report Date: 07/21/2010

Completion Notes:

<u>Note Type</u>	<u>Notes</u>	<u>Last Updated By</u>	<u>Last Updated Date</u>
General Notes	designee. This is the parallel process for CAP missed due	N152144	07/15/2009
General Notes	dates. Attachment 10 currently has established the process	N152144	07/15/2009
General Notes	in the last section of the implementation section. The	N152144	07/15/2009
General Notes	actual face to face accountability meeting is the station	N152144	07/15/2009
General Notes	technique to meet the review by the PARB. This execution is	N152144	07/15/2009
General Notes	below the level of detail for a fleet procedure.	N152144	07/15/2009
General Notes	.	N152144	09/18/2009
General Notes	This Action was reviewed by PARB on 9/18/09. The PARB	N152144	09/18/2009
General Notes	approved elimination of this action in its entirety.	N152144	09/18/2009
General Notes	Accountability actions were not deemed appropriate in the	N152144	09/18/2009
General Notes	corrective action program and there are other venues to	N152144	09/18/2009
General Notes	achieve the accountability aspects.	N152144	09/18/2009

Attributes:

<u>Attribute</u>	<u>Value</u>	<u>Reqd By</u>	<u>Last Updated By</u>	<u>Last Updated Date</u>
ADVERSE TO QUALITY?				

Attributes Notes:

<u>Attribute</u>	<u>Notes</u>	<u>Last Updated By</u>	<u>Last Updated Date</u>
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Cross References:

<u>Ref</u>	<u>Ref</u>	<u>Ref Nbr</u>				
<u>Type</u>	<u>Reference Nbr</u>	<u>Sub</u>	<u>Type</u>	<u>Status</u>	<u>Status Date</u>	<u>Description</u>



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Material References:

<u>UTC No.</u>	<u>Manufacturer</u>	<u>Model No.</u>	<u>Serial No.</u>
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Equipment/Component References:

<u>Facility</u>	<u>Unit</u>	<u>Op Sys</u>	<u>Division</u>	<u>Area</u>	<u>System</u>	<u>Class</u>	<u>Equip Type</u>	<u>Equipment</u>	<u>Comp Type</u>	<u>Component</u>
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Reference Docs:

<u>Facility</u>	<u>Doc Type</u>	<u>Sub Type</u>	<u>Document Number</u>	<u>Sheet</u>	<u>Rev</u>	<u>Minor</u>	<u>Doc Date</u>	<u>Title</u>
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Report Date: 07/21/2010

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AT-0175 Action Request Record Report

REV.2

Report Date: 07/21/2010

Assign Type: STPP **Due Date:** Not Locked 7/20/2009 **Status/Date:** 95 CANCELED 07/16/2009
Pri Resp Grp: **Aff Fac:** PI **Priority:** 5 **Unit:** **System:**
Sec Resp Grp: **RsCh Chgs:** 0
Assign To: N154394 **Department:** 38 Performance Improvemt
Last Name: Brown, Andrew
Subject: Please provide reporting test plan for AT-0085

Description:

Description Notes

Provide current report testing plan as well as with changes
(2 overall scenarios) to ensure before UAT, IT can do
adequate testing.

Last Updated By Last Updated Date

GRTC03 07/15/2009
GRTC03 07/15/2009
GRTC03 07/15/2009

In Progress Notes:

Notes

Please cancel this step should be associated to ITAR 01186470
see sharepoint for documentation

Last Updated By Last Updated Date

LJENGE01 07/16/2009

Completion Notes:

Note Type

Notes

Last Updated By Last Updated Date

Attributes:

Attribute

Value

Reqd By

Last Updated By Last Updated Date



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Report Date: 07/21/2010

Attributes Notes:

<u>Attribute</u>	<u>Notes</u>	<u>Last Updated By</u>	<u>Last Updated Date</u>
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Cross References:

<u>Ref</u>	<u>Ref</u>	<u>Ref Nbr</u>				
<u>Type</u>	<u>Reference Nbr</u>	<u>Sub</u>	<u>Type</u>	<u>Status</u>	<u>Status Date</u>	<u>Description</u>

Material References:

<u>UTC No.</u>	<u>Manufacturer</u>	<u>Model No.</u>	<u>Serial No.</u>
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Equipment/Component References:

<u>Facility</u>	<u>Unit</u>	<u>Op Sys</u>	<u>Division</u>	<u>Area</u>	<u>System</u>	<u>Class</u>	<u>Equip Type</u>	<u>Equipment</u>	<u>Comp Type</u>	<u>Component</u>
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Reference Docs:

<u>Facility</u>	<u>Doc Type</u>	<u>Sub Type</u>	<u>Document Number</u>	<u>Sheet</u>	<u>Rev</u>	<u>Minor</u>	<u>Doc Date</u>	<u>Title</u>
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Report Date: 07/21/2010

01166830 - 18 - 00



AT-0175 Action Request Record Report

REV.2

Report Date: 07/21/2010

Assign Type: CA **Due Date:** Not Locked 10/2/2009 **Status/Date:** 85 COMPLETE 10/02/2009
Pri Resp Grp: **Aff Fac:** PI **Priority:** 5 **Unit:** **System:**
Sec Resp Grp: **Rsch Chgs:** 0
Assign To: N152144 **Department:** 38 Performance Improvemt
Last Name: Petersen, Kurt W
Subject: ensure CAP reduction is reviewed by PARB

Description:

Description Notes

Schedule review of CAP action REcommendations in action -08
are reviewed and approved by PARB .

Last Updated By Last Updated Date

N152144 09/03/2009
N152144 09/03/2009

In Progress Notes:

Notes

Last Updated By Last Updated Date

Completion Notes:

Note Type

Notes

Last Updated By Last Updated Date

General Notes	The CAP reduction effort was provided to the PARB members	N152144	10/02/2009
General Notes	at the October 1,2009 ad hoc meeting. Separately each dept	N152144	10/02/2009
General Notes	was provided a listing of the open A & B CAPs with	N152144	10/02/2009
General Notes	recommendations for action. Additional follow up meetings	N152144	10/02/2009
General Notes	are expected to result in addressing these issues.	N152144	10/02/2009
General Notes	.	N152144	10/02/2009
General Notes	At this time there is no further action needed on this	N152144	10/02/2009



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REV.2

Report Date: 07/21/2010

Completion Notes:

<u>Note Type</u>	<u>Notes</u>	<u>Last Updated By</u>	<u>Last Updated Date</u>
General Notes	assignment. Review of inventory is not anticipated to be a	N152144	10/02/2009
General Notes	one time effort but a continuing action with varying degree	N152144	10/02/2009
General Notes	of formality depending upon the need.	N152144	10/02/2009

Attributes:

<u>Attribute</u>	<u>Value</u>	<u>Reqd By</u>	<u>Last Updated By</u>	<u>Last Updated Date</u>
NRC COMMITMENT DATE				
NRC COMMITMENT?				
OUTAGE NUMBER				
PARB?				
TRB?				
LICENSING REVIEW?				
MODE CHG RESTRAINT				
MR(A)1 ACTION?				
MRFF?				
NOS REVIEW?				

Attributes Notes:

<u>Attribute</u>	<u>Notes</u>	<u>Last Updated By</u>	<u>Last Updated Date</u>
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Cross References:

<u>Ref</u>	<u>Ref</u>	<u>Ref Nbr</u>				
<u>Type</u>	<u>Reference Nbr</u>	<u>Sub</u>	<u>Type</u>	<u>Status</u>	<u>Status Date</u>	<u>Description</u>



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REV.2

Report Date: 07/21/2010

Material References:

<u>UTC No.</u>	<u>Manufacturer</u>	<u>Model No.</u>	<u>Serial No.</u>
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Equipment/Component References:

<u>Facility</u>	<u>Unit</u>	<u>Op Sys</u>	<u>Division</u>	<u>Area</u>	<u>System</u>	<u>Class</u>	<u>Equip Type</u>	<u>Equipment</u>	<u>Comp Type</u>	<u>Component</u>
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Reference Docs:

<u>Facility</u>	<u>Doc Type</u>	<u>Sub Type</u>	<u>Document Number</u>	<u>Sheet</u>	<u>Rev</u>	<u>Minor</u>	<u>Doc Date</u>	<u>Title</u>
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